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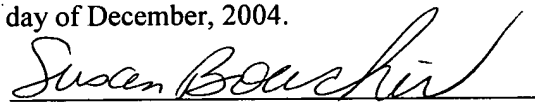
PATENT
Attorney Docket No. TAM-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Fawcett, Jr. et al.
SERIAL NO.: 10/712,076 GROUP NO.: 3629
FILING DATE: November 13, 2003 EXAMINER: Not yet assigned
TITLE: Systems and Methods for Retrieving Data

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 22nd day of December, 2004.


Susan Boucher

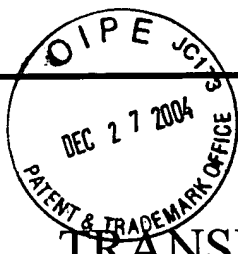
Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 pg.);
2. Supplemental Information Disclosure Statement (2 pgs.);
3. Form PTO-1449 (2 pgs.);
4. Copies of cited references C3-C10; and
5. Return-receipt postcard.

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TRANSMITTAL FORM

Application Serial Number	10/712,076
Filing Date	November 13, 2003
First Named Inventor	Fawcett
Group Art Unit	3629
Examiner Name	Not yet assigned
Attorney Docket No.	TAM-001
Patent No.	Not applicable
Issue Date	Not applicable

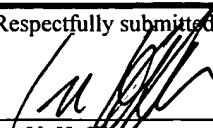
ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____] <input type="checkbox"/> Petition for Extension of Time <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input checked="" type="checkbox"/> Form PTO-1449 <input checked="" type="checkbox"/> Copies of Supp. IDS Citations (C3-C10) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input type="checkbox"/> Additional Enclosure(s) (please identify below)
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CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
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High Street Tower
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Boston, MA 02110
Tel. No.: (617) 248-7000
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SIGNATURE BLOCK

Respectfully submitted,


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PATENT
Attorney Docket No. TAM-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Fawcett, Jr. et al.
SERIAL NO.: 10/712,076 GROUP NO.: 3629
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TITLE: Systems and Methods for Retrieving Data

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), only copies of the non-patent publications are enclosed. In addition, Applicants wish to inform the examiner of co-pending, commonly owned patent application serial number 10/987,375, which was submitted on November 12, 2004.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**

- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

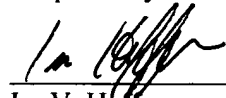
It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



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FORM 120 - 1449 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT				ATTORNEY DOCKET NO.: TAM-001 APPLICANT(S): Fawcett, Jr. et al. SERIAL NO.: 10/712,076 FILING DATE: November 13, 2003 GROUP: 3629			
U.S. PATENT DOCUMENTS							
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A20	2002/0010700 A1	01/24/02	Wotring et al.	707	100	06/28/01
	A21	2002/0128734 A1	09/12/02	Dorsett	700	73	01/05/01
	A22	2002/0136381 A1	09/26/02	Shaffer et al.	379	201.02	02/22/02
	A23	2002/0194037 A1	12/19/02	Creed et al.	705	5	02/28/02
	A24	2003/0187813 A1	10/02/03	Goldman et al.	707	1	03/26/02
	A25	2004/0015470 A1	01/22/04	Smith et al.	707	1	07/20/02
	A26	2004/0015473 A1	01/22/04	Trappen et al.	707	1	07/20/02
	A27	2004/0015487 A1	01/22/04	Lin et al.	707	3	07/20/02
	A28	2004/0015489 A1	01/22/04	Anonsen et al.	707	3	07/20/02
	A29	2004/0015496 A1	01/22/04	Anonsen	707	4	07/20/02
	A30	2004/0015506 A1	01/22/04	Anonsen et al.	707	100	07/20/02
	A31	2004/0015814 A1	01/22/04	Trappen et al.	717	100	07/20/02
	A32	2004/0019599 A1	01/29/04	Trappen et al.	707	102	07/20/02
	A33	2004/0088117 A1	05/06/04	Dorsett	702	22	01/07/02
	A34	2004/0176967 A1	09/09/04	Whittenberger	705	1	03/07/03
	A35	2004/0181440 A1	09/16/04	Yeh et al.	705	7	03/12/03
	A36	2004/0181502 A1	09/16/04	Yeh et al.	707	1	03/12/03
	A37	2004/0181538 A1	09/16/04	Lo et al.	707	100	12/03/03
EXAMINER				DATE CONSIDERED			



FORM PTO - 1449 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT				ATTORNEY DOCKET NO.: TAM-001 APPLICANT(S): Fawcett, Jr. et al. SERIAL NO.: 10/712,076 FILING DATE: November 13, 2003 GROUP: 3629					
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
	C3	Chen, P., "The Entity-Relationship Model-Toward a Unified View of Data," ACM Transactions on Database Systems, 1(1): 9-36 (March 1976).							
	C4	Gordon D. et al., "Containment Modeling of Content Management Systems," pps. 1-14 (August 2002).							
	C5	Keller R. et al., "Design and Implementation of a UML-based Design Repository," (June 2001).							
	C6	Pan, K. et al., "Hypertext Versioning for Embedded Link Models" (2004)							
	C7	Van Schooten, B., "Structuring distributed virtual environments using a relational database model," http://www.home.cs.utwente.nl/~schooten/dsvs-schooten-final/dsvs-schooten-final.html , retrieved from the internet on 9/30/04, 14 pgs.							
	C8	Whitehead, E.J. Jr. et al., "Uniform Comparison of Configuration Management Data Models" (2003).							
	C9	Whitehead, E.J. Jr., (2002), "Uniform Comparison of Data Models Using Containment Modeling" (2002).							
	C10	Whitehead, E.J. Jr. et al., "Automatic Generation of Hytpertext System Repositories A Model Driven Approach" (2004).							
EXAMINER					DATE CONSIDERED				

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